This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

(11) International Publication Number:

WO 97/33534

A61F 2/06

A1

(43) International Publication Date: 18 September 1997 (18.09.97)

(81) Designated States: AU, CA, JP, US, European patent (AT, BE,

(21) International Application Number:

PCT/US97/03923

(22) International Filing Date:

13 March 1997 (13.03.97)

CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,

PT, SE).

(30) Priority Data:

117,472 08/632,739 13 March 1996 (13.03.96) 15 April 1996 (15.04.96) US

08/697,989

3 September 1996 (03.09.96)

IL

US

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(71) Applicant (for all designated States except US): MEDTRONIC, INC. [US/US]; 7000 Central Avenue, N.E., Minneapolis, MN 55432-3576 (US).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): GLOBERMAN, Oren [IL/IL]: 14 Yericho, 58543 Holon (IL). BEYAR, Mordechay [IL/IL]; Haeshcolit 5 Street, 38900 Caeseria (IL). BE-YAR, Rafael [IL/IL]; 8 Watson Street, 34751 Haifa (IL). DOR, Ofer [IL/IL]; 19/22 Tabenkin, Ramat-Gan (IL). LOSHAKOVE, Amir, David [IL/IL]; 126 Aaron Dov, Bney Brak (IL).
- (74) Agent: DIPPERT, William, H.; Cowan, Liebowitz & Latman, P.C., 1133 Avenue of the Americas, New York, NY 10036-6799 (US).

(54) Title: RADIOPAQUE STENT MARKERS

(57) Abstract

Stents which are inserted into a body lumen preferably are made of materials which are not radiopaque enough, such as stainless steel 316L. X-ray visualization of a stent enables an accurate positioning of the stent and also a follow-up of its functioning within the patient's body. The radiopaque markers described here are rivets made of a material which is more radiopaque than the stent substance so the location of the stent can be identified. Preferably the stents are heat treated so that atoms from the stent material migrate into the marker material and vice versa.

radius of reconverse?

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

	Armenia	GB	United Kingdom	MW	Malawi
M		GE	Georgia	MX	Mexico
T	Austria	GN	Guinea	NE	Niger
U	Australia	GR	Greece	NL	Netherlands
B	Barbados -	HU	Hungary	NO	Norway
BE	Belgium	1E	Ireland	NZ	New Zealand
æ	Burkina Faso	IT	Italy	PL	Poland .
3G	Bulgaria	1P	Japan	PT	Portugal
IJ	Benin	-		RO	Romania
BR	Brazil	KE	Kenya	RU	Russian Federation
3Y	Belarus	KG	Kyrgystan Democratic People's Republic	SD	Sudan
CA	Canada	KP	of Korea	SE	Sweden
CF	Central African Republic			SG	Singapore
CG	Congo	KR	Republic of Korea	SI	Slovenia
CH	Switzerland	KZ	Kazakhstan	SK	Slovakia
CI	Côte d'Ivoire	u .	Liechtenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	SZ.	Swaziland
CN	China	LR	Liberia	TD	Chad
CS	Czechoslovakia	LT	Lithuania	TG	Togo
CZ	Czech Republic	LU	Luxembourg		Tajikistan
DE	Germany	LV	Latvia	TJ TT	Trinidad and Tobago
DK	Denmark	MC	Monaco	TT	
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda United States of America
FI	Finland	ML	Mali	US	
FR	France	MN	Mongolia	UZ	Uzbekistan
FR Ga	Gabon	MR	Mauritania	VN	Viet Nam

-1-

RADIOPAQUE STENT MARKERS

FIELD OF THE INVENTION

This invention relates to endoprosthesis devices, generally called stents, and, more particularly, to radiopaque markers for use with endoprosthesis devices.

5

BACKGROUND OF THE INVENTION

Stents are generally tubular shaped devices that function to hold open a segment of a blood vessel or other anatomical lumen and are useful in the treatment of atherosclerotic

10 stenoses in blood vessels. Stents are particularly suitable for use in supporting and holding back a dissected arterial lining that can occlude the fluid passageway therethrough.

To accomplish precise placement of stents, various means are employed for identifying the position of the stent within a blood vessel. One means used for accomplishing precise placement of a stent is the attachment to the stent of radiopaque markers so that, through the use of fluoroscopy, the position of the stent within a blood vessel can be identified. Once the stent with its radiopaque markers has been implanted, identification of the stent position during subsequent checkups of the treated segment is easily accomplished because the markers remain visible under fluoroscopy.

In European patent application No. 95302708, assigned to ACS, Inc., a method of coating the stent edges as markers is described. However, this method has several practical disadvantages. First, heavy coating of radiopaque markers onto a stent is somewhat difficult to accomplish. In addition, the radiopaque material might not be attached properly to the stent material and may detach, leaving no way of identifying the position of the stent within the blood vessel. Furthermore, the radiopaque coating may increase the rigidity of the stent, thereby making it difficult to open the stent and decreasing the stent's effectiveness.

In another method for enabling the precise identification 35 of a stent location using radiopaque markers, commonly assigned U.S. patent application Serial No. 08/394,799, filed February

25

30

27, 1995, discloses a hollow stent having radiopaque material inserted within the hollow stent wire. Because this method of providing radiopaque marking requires that the stent wire is hollow, this method might not be useful where a hollow stent is not desirable.

Another well-known method for enabling the precise identification of a stent location within a blood vessel is producing the stent itself from a radiopaque material such as tantalum. However, a disadvantage of this method is that tantalum is a relatively soft material and it is, therefore, necessary to use more of this metal to achieve sufficient support from the stent.

OBJECTS OF THE INVENTION

It is an object of the invention to provide improved 15 stents.

It is also an object of the invention to provide stents having radiopaque markers.

It is a further object of the invention to provide stents where the distal ends of the stents comprise rivets of material that is more radiopaque than the material from which the stents are made.

These and other objects of the invention will become more apparent in the discussion below.

SUMMARY OF THE INVENTION

The radiopaque markers described below are designed for stents produced from a material that is not sufficiently radiopaque to be seen through the use of fluoroscopy, e.g., a material such as Stainless Steel 316L, nitinol, or a cobalt-chromium alloy. In order to identify the position of the stent during its insertion into the body and after it has been implanted, however, it is enough to mark the stent edges so that they may be seen under X-ray. The location of the stent will thus be evident based upon the pinpoint locations of its two ends.

According to this invention the edges of the stents are marked by inserting rivets through the ends or edges of the stents, which rivets are made of a material that is more radiopaque than the stent material. For example, if the stent material is S.S. 316L, the rivets can be made of gold, gold alloy, tantalum, tantalum alloy, platinum, or platinum alloy.

BRIEF DESCRIPTION OF THE DRAWINGS

The above and other objects and advantages of the invention will be apparent upon consideration of the following detailed description, taken in conjunction with the accompanying drawings, in which the reference characters refer to like parts throughout and in which:

- FIG. 1 shows a side elevational view of a portion of a balloon-expandable stent structure having a round opening at each distal end or edge in accordance with an embodiment of this invention;
 - FIG. 2 shows a cross-sectional view taken along line A-A of FIG. 1 across the entire stent;
- FIG. 3 shows a cross-sectional view of a first embodiment 20 of a rivet inserted through the opening of FIG. 1;
 - FIG. 4 shows a cross-sectional view of a second embodiment of a rivet inserted through the opening of FIG. 1;
 - FIG. 5 shows a cross-sectional view of a third embodiment of a rivet inserted through the opening of FIG. 1;
- FIG. 6 shows a cross-sectional view of a fourth embodiment of a rivet inserted through the opening of FIG. 1;
 - FIG. 7 shows a cross-sectional view of a fifth embodiment of a rivet inserted through the opening of FIG. 1;
- FIG. 8 shows a side elevational view of a portion of a 30 balloon-expandable stent structure having a second embodiment of an opening at each edge;
 - FIG. 9 shows a side elevational view of a portion of a balloon expandable stent structure having a third embodiment of an opening and showing a marker inserted through the opening;

PCT/US97/03923

20

Fig. 10 is a perspective view of an embodiment of the invention on a mandril with two exploded sections showing the end portions;

Fig. 11 is a perspective exploded view of a marker according to the invention, prior to heat treatment; and

Fig. 12 is a perspective exploded view of a marker according to the invention, after heat treatment.

DETAILED DESCRIPTION OF THE INVENTION

Balloon-expandable stent structures are well known in the

10 art. In this invention, as illustrated in FIG. 1, a balloonexpandable stent structure 1 has an opening 2 at each stent end
or edge. In a first embodiment of the invention, the openings 2
are round. FIG. 2 shows a cross-section of the stent 1 and
shows opening 2 passing radially from the external stent surface

15 into the internal stent surface.

According to the invention a marker having radiopaque qualities is inserted through the opening at each end or edge of the stent to mark the ends of the stent so that the position of the stent can be determined by the location of its ends when the markers are seen under X-ray. Because the markers are placed securely into and through holes or openings, they are referred to as "rivets".

opening 2. Rivet 3 comprises a short rod made from a radiopaque material, which is compressed into the opening 2 in the axial direction 4, thereby compressing the radiopaque material and causing a circumferential force 5 that enables rivet 3 to be held within opening 2 of stent 6. Because retention of the marker rivet requires an opening whose aperture size does not vary while the rivet is inserted therein, the preferred location of the marker rivet is a region of the stent that is not deformed during expansion of the stent. In particular, for a balloon-expandable stent, this will usually be at an edge of the stent, at the end of the stent's lattice-like structure.

As shown in FIG. 4, an alternative manner of assuring that the rivet remains in the stent opening 2 is to form the rivet edges 7 with a cone-like, outwardly radiating shape, i.e., with beveled edges. Then, after the radiopaque rivet 8 is compressed into opening 2, the rivet 3 cannot leave the stent due to the friction between the edges 7 of the rivet and the outer edges of the inner walls of opening 2.

Another means of securing the rivet within the lumen is shown in FIG. 5, in which the marker rivet 9 is made with chamfered edges 10. After rivet 9 is inserted into the opening 2, the stent 1 is pressed at points 11 so the marker rivet 9 cannot displace from the stent.

In a further embodiment of the invention illustrated in FIG. 6, an enlarged head portion 13 of rivet 12 protrudes from opening 2 on one side of the stent in a diameter larger than that of the rivet portion situated within opening 2. Thus, when the stent is viewed through X-ray, a larger height and greater diameter of the rivets and, therefore, a better visualization of the markers of the ends of the stent, is achieved. FIG. 7 illustrates a variation of this embodiment of the rivet in which the enlarged head portion 13 protrudes from both sides of the stent, achieving even larger height and greater diameter of the rivet and still better visualization of the rivet, and thus the stent ends, under X-ray.

- FIG. 8 illustrates a second embodiment of the invention, in which an opening 14 of stent 17 is non-round, such as oval. The non-round opening 14 allows a non-round rivet to be inserted therein. This serves to enlarge the rivet surface without interfering with the fluid flow within the stent.
- In the embodiments of this invention illustrated in FIGS. 1 through FIG. 8, marker rivets are completely surrounded by the stent material so that they either are contained within the stent material or project only from the external and internal surfaces of the stent. Another embodiment of the invention and an additional type of marker rivet is shown in FIG. 9, in which the place of insertion of the marker is not a loop or an opening passing radially through the stent material 18 but is rather a

circumferential space encompassed by two circumferential detent projections 16. The corresponding marker 15 is pressed circumferentially into the space and is held there by specially designed projections 16 into adequate shape in the marker. This method enables an insertion of a marker with larger visible surface area by allowing the larger surface area to fit along and be co-extensive with the stent circumference as opposed to projecting radially into and out of the stent shape.

As described above, the rivets are preferably compressed

into the stent material. Optionally, in addition to or in place
of compression, the surface between the rivets and the stent can
be heated to weld or fuse the rivets into position. Preferably
such heating would be focused heating, for example, with a
laser, where only the rivet and stent material would be present.

In the embodiment of the invention set forth in Figs. 10 to 12, a marker 25 is preferably positioned at each end 26 of an expandable stent 27, which is shown on a mandril 24. The markers 25 are positioned within ring structures 29 in the lattice of stent 27.

It is especially preferred that stent 27 and marker 25 be 20 comprised of materials suitable for securely positioning each marker 25 within a ring structure 29 after heat treatment. For example, if the marker 25 and ring structure 29 comprise gold and stainless steel 316L, respectively, as shown in Fig. 11, there will be migration during suitable heating. Gold from 25 marker 25 will tend to migrate into the stainless steel 316L of ring structure 29, and components of the stainless steel 316L of ring structure 29 will migrate into marker 25, optionally forming stainless steel components on the surface of gold solid solution. The gold markers are solute and adhesive with 30 stainless steel 316L, and the result is a thin cover layer of stainless steel components with grains of gold solution on the surface of the gold of member 25. This surface composite will behave like stainless steel in protecting the surface from, 35 e.g., corrosion in an aggressive chemical environment and/or during an electropolishing process.

After heat treatment and electropolishing, a gold solution is formed within the ring structure 29 while a matrix 33 of gold and stainless steel components is found on the outer surface 23 of member 25, as shown in Fig. 12. Any grains of gold solution, 5 which tend to appear in small amounts, disappear. The outer surface 23 of marker 25 will be flush with the outer surface of ring structure 29.

The marker of the embodiment of Fig. 12 is prepared by force fitting a substantially cylindrical marker 25 into a hole 35, which extends through ring structure 29. Marker 25 extends beyond either or both of outer ring structure surfaces 37. After appropriate heat treatment, for example, from about 700° to 1200°C, for from about 1 minute to 2 hours, preferably from about 1050° to 1100°C, for from about 2 to 30 minutes, under high vacuum, such as from about 10-4 to 10-6 torr in Argon atmosphere, gold within marker 25 will migrate, or diffuse, into ring structure 29, preferably from about 10 to 1000 Å. Similarly, atoms of components from the stainless steel 316L may migrate similar distances into marker 25. Also, components of 20 the stainless steel, and/or crystals of the stainless steel 316L, may appear on one or both outer surfaces 23 of marker 25.

The process described above is particularly advantageous for at least two reasons. First, more gold can be put into each marker, which results in a better x-ray or fluoroscopic image. 25 And second, the migration of gold into the ring structure results in a more secure fit of the marker 25 in the ring structure 29, as compared to compression or welded marker situations. To demonstrate this last point stents were prepared with markers according to the processed described above and then 30 the force required to separate the markers from the holes in the ring structures was measured. The results were as follows:

Table

	Process	Separation Force		
	Compression	250 gr		
35	Compression plus welding	400 gr		
	Heat treatment	1-4 Kg		

WO 97/33534 PCT/US97/03923

-8-

Thus, the heat treatment resulted in a much more secure marker fitting.

The above-described markers are designed for both stent extremities in order to define the ends of the stent during

5 fluoroscopy. Nonetheless these markers can be combined onto the entire stent length and also on several places located along the stent circumference, so that the stent diameter can be detected during fluoroscopy, as well. It is within the scope of the invention that a stent could have, for example, from 2 to 20

10 marker rivets, located from about 0.5 to 5 cm apart longitudinally and/or from 1 to 4 rivets spaced radially, preferably equidistantly, or a combination thereof.

This invention is intended primarily for use with balloon-expandable stents, although it is envisioned that the technology disclosed herein is applicable to other medical devices, including, but not limited to, self-expanding stents. An important factor is that the material of the rivet be more radiopaque than the primary material used in the device. For example, if a balloon-expandable stent is comprised of stainless steel or nitinol, then rivets comprised of gold, platinum, or titanium would be useful.

The invention herein is not limited to a particular lattice-work for a balloon-expandable stent. However, the invention is especially useful with the balloon-expandable stents described in co-pending U.S. Patent application Serial No. 08/543,337, filed October 16, 1995, incorporated herein by reference.

25

It will be further apparent to one skilled in this art that the improvements provided for in the present invention, while described with relation to certain specific physical embodiments also lend themselves to being applied in other physical arrangements not specifically provided for herein, which are nonetheless within the spirit and scope of the invention taught here.

WO 97/33534 PCT/US97/03923

-9-

WE CLAIM:

A method for increasing the radiopacity of an implantable medical device, which comprises the steps of

forming openings in at least the distal edges or ends of the device and

compressing material with greater radiopacity into said openings to form a rivet in each opening.

- 2. The method of Claim 1, wherein the medical device is a stent.
- The method of Claim 2, wherein there are at least two openings with a rivet in each opening.
- The method of Claim 2, wherein each opening is round and the rivet in each opening does not protrude from the outer surface of the stent.
- The method of Claim 2, wherein the respective edges of the opening are beveled.
- The method of Claim 2, wherein the respective edges of the opening are chamfered toward the center of the opening.
- The method of Claim 2, wherein one or both ends of 7. the rivet may protrude from the surface of the stent.
- 8. The method of Claim 1 which comprises the additional step of heating each rivet to cause the rivets and the medical device to fuse together.
- A method for increasing the radiopacity of an implantable medical device, which comprises the steps of

forming openings in at least the distal edges or ends of the device,

inserting material with greater radiopacity into said openings to form a rivet in each opening, and

heating the surface of the medical device adjacent the rivets to cause the rivets and the medical device to fuse together.

WO 97/33534 PCT/US97/03923

-10-

10. An implantable medical device with increased radiopacity, which comprises

openings formed in at least the distal edges or ends of the device and

material with greater radiopacity compressed into said openings to form a rivet in each opening.

- 11. The device of Claim 10, which is a stent.
- 12. The device of Claim 11, wherein there are at least two openings with a rivet in each opening.
- 13. The device of Claim 11, wherein each opening is round and the rivet in each opening does not protrude from the outer surface of the stent.
- 14. The device of Claim 11, wherein the respective edges of the opening are beveled.
- 15. The device of Claim 11, wherein the respective edges of the opening are chamfered toward the center of the opening.
- 16. The device of Claim 11, wherein one or both ends of the rivet may protrude from the surface of the stent.
- 17. An implantable medical device with increased radiopacity, which comprises

openings formed in at least the distal edges or ends of the device and

material with greater radiopacity fused into said openings to form a rivet in each opening.

- 18. In an implantable medical device, the improvement wherein the ends or edges of the device have openings and the openings contain rivets comprised of material more radiopaque than the material of the device.
- 19. A method for increasing the radiopacity of an implantable medical device, which comprises the steps of
- (a) forming openings in at least the distal edges or ends of the device,

- (b) inserting marker material with greater radiopacity into said openings to form a rivet in each opening, and
- (c) heating the device from step (b) for a sufficient temperature and for a sufficient time to cause atoms from the device to migrate into the marker material and atoms from the marker material to migrate into the device.
- 20. The method of claim 19, wherein the heating in step (c) is under vacuum.
- 21. The method of Claim 20, wherein the heating is at from about 10^{-4} to 10^{-6} torr.
- 22. The method of Claim 19, wherein the heating in step (c) is from about 700° to 1200°C and for from about 1 minute to 2 hours.
 - 23. A product prepared by the process of Claim 19.
- 24. An implantable medical device with increased radiopacity, which comprises

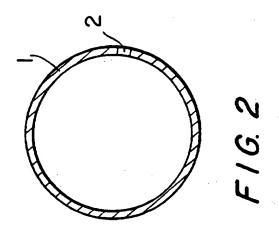
openings formed in at least the distal edges or ends of the device and

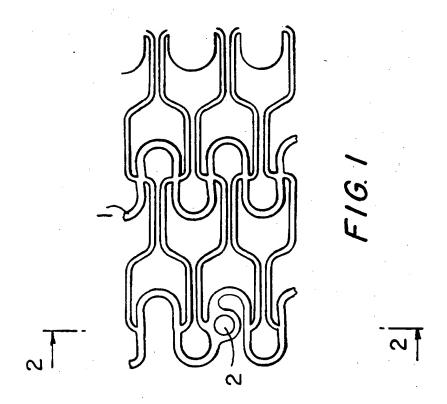
marker material with greater radiopacity compressed into said openings to form a rivet in each opening,

wherein atoms from the device have migrated into the marker material and atoms from the marker material have migrated into the device.

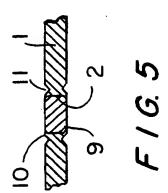
- 25. The device of Claim 24, wherein the atoms have migrated from 10 to 1000 $\hbox{\normalfont\AA}$
 - 26. The device of Claim 24, which is a stent.
- 27. The device of Claim 24, wherein there are at least two openings with a rivet in each opening.
- 28. The device of Claim 24, wherein each opening is round and the rivet in each opening does not protrude from the outer surface of the stent.
- 29. The device of Claim 24, wherein the respective edges of the opening are beveled.

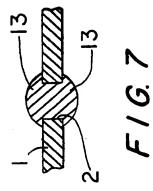
30. In an implantable medical device, the improvement wherein the ends or edges of the device have openings and the openings contain markers comprised of material more radiopaque than the material of the device, wherein the devices have been heat treated so that atoms from the device migrate into the marker material and atoms from the marker material migrate into the device material.

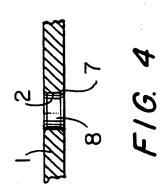


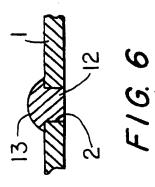


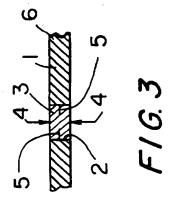




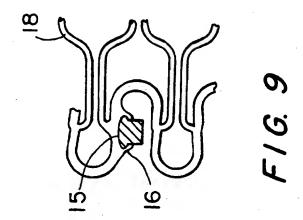


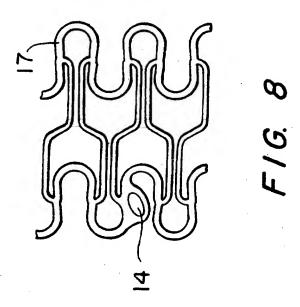




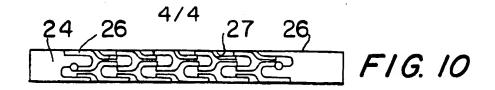


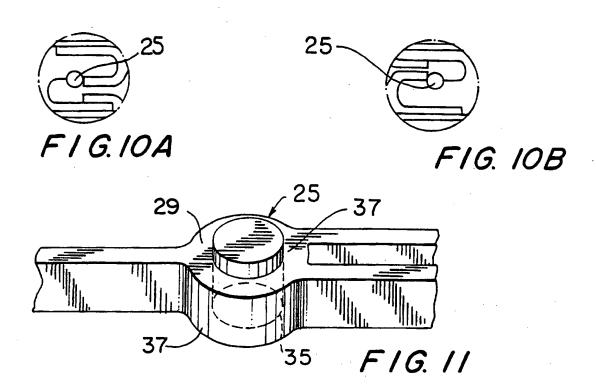
3/4

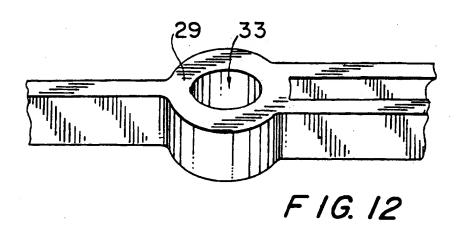




WO 97/33534







INTERNATIONAL SEARCH REPORT

Inter 'Application No PCT/US 97/03923

			PC1/US 9/	//03923
A. CLAS IPC 6	SIFICATION OF SUBJECT MATTER A61F2/06			
	•			
According	to International Patent Classification (IPC) or to both national	classification and IPC		
B. FIELD	DS SEARCHED			
IPC 6	documentation searched (classification system followed by cla A61F	ssification symbols)		
Document	ation searched other than minimum documentation to the exten	at that such documents are inch	ided in the fields	earched
			. and more more	ca circu
				•
Electronic	data base consulted during the international search (name of de	sta base and, where practical, s	earch terms used)	
				•
C. DOCU	MENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of	the relevant passages		Relevant to claim No.
<u> </u>			:	
X	WO 95 03010 A (COOK INCORPARA) February 1995	TED) 2	٠.	1-4,
	rebluary 1995			8-13, 17-19,
				23-28,30
	see abstract; figures see page 13, line 4 - line 12	*		
4	GB 1 205 743 A (DIDCOTT) 16 Se	entember 1970		
	(515517) 20 30	premoer 1370		
	•			
				•
		,		
1	•			
	<u>. </u>			
Furth	er documents are listed in the continuation of box C.	X Patent family me	mbers are listed in	annex.
Special cate	egories of cited documents:	equal later di		
docume	nt defining the general state of the art which is not red to be of particular relevance	T later document publis or priority date and r cited to understand the	ot in conflict with	the application but
	ocument but published on or after the international	invention "X" document of particula		_
." documen	at which may throw doubts on priority chairs(s) on	cannot be considered involve an inventive	Dovel or cannot b	e considered to .
custion	cited to establish the publication date of another or other special reason (as specified)	Y' document of particula cannot be considered	r relevance; the d	aimed invention
outer m		ments, such combine	d with one or mor	e other such door.
document later that	at published prior to the international filing date but in the priority date claimed	in the art, '&' document member of		
te of the se	ctual completion of the international search	Date of mailing of the		
26	June 1997		07. 97	
me and ma	uling address of the ISA European Patent Office, P.B. 5818 Patentiaan 2	Authorized officer	3	
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,			
	Fac (+31-70) 340-3016	Steenbakk	er. J	

INTERNATIONAL SEARCH REPORT

information on patent family members

Inte u Application No PCT/US 97/03923

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9503010 A	02-02-95	AU 6015194 A CA 2144305 A EP 0662806 A JP 8507243 T US 5632771 A	20-02-95 02-02-95 19-07-95 06-08-96 27-05-97
GB 1205743 A	16-09-70	DE 1766921 B FR 1602513 A	15-01-70 21-12-70